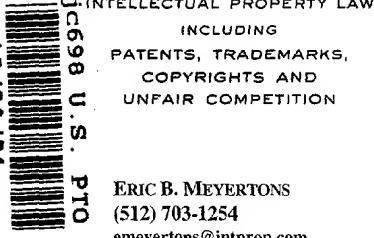


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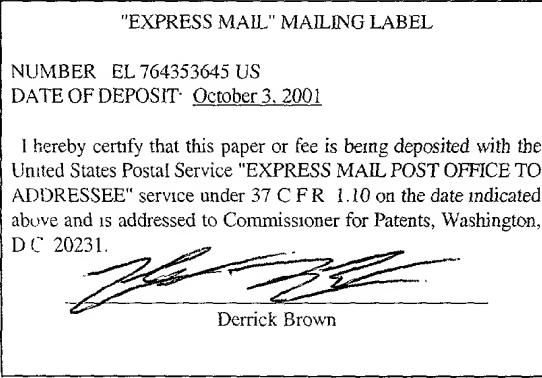
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October 3, 2001

jc679 U.S. PTO
09/970078
10/03/01



ATTN: PATENT APPLICATIONS

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application Entitled "**METHODS AND SYSTEMS FOR MANAGING A PROFOLIO OF SECURITIES**" – John Bunda

Sir:

Transmitted herewith for filing is a disclosure including a title page, a 21 page specification, 5 pages of claims (Claims 1-41), and a one page abstract. In addition, we have also included 8 sheets of formal drawings (Figures 1-9). The disclosure and drawings constitute the application of John Bunda for the above-entitled invention.

Please note that this application is filed without an inventor Declaration and filing fees. Applicant requests the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, the Applicant requests that the NOTICE OF MISSING PARTS-FILING DATE GRANTED be sent to the undersigned Applicant representative.

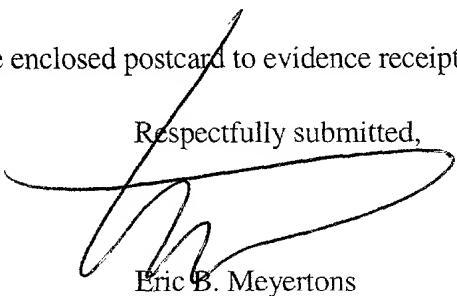
Commissioner for Patents
October 3, 2001
Page 2

Please address all correspondence in connection with this application to:

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Please date stamp and return the enclosed postcard to evidence receipt of this application.

Respectfully submitted,


Eric B. Meyertons
Reg. No. 34,876
Attorney for Applicant

Date: October 3, 2001

EBM:jlm
Enclosures